

# base

EXCHANGE

**FAQ - English**



## FREQUENTLY ASKED QUESTIONS – FAQ:

Last updated: November 8, 2024

- **Will Base Exchange provide Trading and Post-Trade Services?**

Yes, we will offer order matching services and have our own clearing and settlement structure. Custody will be unified as we will be connected to the listing exchange's depository.

- **What instruments will be available for trading on Base Exchange?**

Equities, real estate funds, and ETFs initially. A list of authorized instruments will be published via Market Data. Eventually, we will offer futures and derivatives.

- **What will be the Base Exchange trading days?**

Trading days will follow the listing exchange calendar.

- **What are the trading phases on Base Exchange?**

The trading phases will include: Pre-Open (cancellation only), Continuous Trading, Close (MOC orders), and order cancellation phases.

- **Will there be IPOs on Base Exchange?**

Not initially. Companies traded on Base Exchange will be the same as those on the listing exchange, though we plan to offer listing services in the future.

- **Will Base Exchange have a Market Maker program?**

Yes, detailed information will be available soon.

- **Will Base Exchange have volatility controls?**

Yes, we will use a Volatility Control Mechanism (VCM) to regulate price fluctuations.

- **What triggers volatility control?**

The VCM will activate if an order causes a trade outside the trading tunnel. Further details on VCM calculations will be provided.

- **Can I trade on Base Exchange and settle my transaction on the listing exchange?**

No, trades will be executed and settled within the Base Exchange environment.

- **What protocols will Base Exchange accept for order submission?**

We will accept the binary protocol called ALO, native to our matching engine, as well as the FIX protocol in version 4.4 as an alternative.

- **What protocols will be used to publish Market Data?**

Market Data will be published via a native Binary protocol, called ALI, or via TCP protocol.

- **What types of orders will Base Exchange accept?**

We will accept simple buy and sell orders with limit or market prices, cross orders, with hidden or apparent lots.

- **Will it be possible to submit GTC (Good-Till-Cancelled) or GTD (Good-Till-Date) orders?**

Yes, it will be possible to submit orders that are valid until a specific date or until canceled.

- **Can I submit orders with visible lots, GTC, or GTD via the binary protocol ALO?**

No, these order types will only be available via the FIX protocol.

- **Will Base Exchange accept FOK or IOC orders?**

Yes, we accept Fill or Kill (FOK) and Immediate or Cancel (IOC) orders through both ALO and FIX protocols.

- **Will MOC orders be accepted?**

Yes, MOC (Market on Close) orders will be accepted, with detailed rules to be provided later.

- **How can I integrate my existing infrastructure with Base Exchange?**

We provide our protocols on our website for brokers to assess necessary adaptations. We offer technical support and personalized solutions for smooth integration.

- **What is the customer service contact channel?**

You can reach us by email at [basehelp@baseexchange.com.br](mailto:basehelp@baseexchange.com.br)